



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant(s): Akira YOKOYAMA

Group Art Unit:

2873

Serial No.:

09/988,259

Examiner:

Huy K. Mai

Filed:

November 19, 2001

For:

DRIVE TRANSMISSION DEVICE AND OPTICAL APPARATUS USING THE

SAME

INFORMATION DISCLOSURE STATEMENT

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching. For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed: For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not 3. enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No. , filed No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement M since it is being filed in compliance with:

Docket No. <u>1232-4785</u> Serial No. <u>09/988,259</u> date of a national

		application other than a CPA; or
		37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or
		37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or
	\boxtimes	37 C.F.R. §1.97(b)(4) before the mailing date of a first office action after the filing of an RCE under §1.114.
5.	since in para Allow	e is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified agraph 4 above but before the mailing date of a final action or a Notice of ance (where there has been no prior final action), and is accompanied by one of rtifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6.	it is be	is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since eing filed in compliance with 37 C.F.R. §1.97(c), after the period specified in raph 4 above but before the mailing date of a final action or a notice of ance (where there has been no prior final action):
		A check in the amount of \$180.00 is enclosed in payment of the fee.
		Charge the fee to Deposit Account No. <u>13-4500</u> , Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
7.	it is be	is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since eing filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final or a notice of allowance, whichever comes first, but before payment of the issue ad is accompanied by:
		ne of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 elow; and
		the fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 below.
8.	This I	nformation Disclosure Statement is being filed in compliance with:
	a. 🗌	37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h);
	b. 🗌	37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and is accompanied by the

Docket No. <u>1232-4785</u> Serial No. <u>09/988,259</u>

			attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h).	
		c. 🗌	The fee due under 37 C.F.R. §§1.17(h) is paid as set forth in paragraph 11 below.	
9. [Statem	by certify that each item of information contained in this Information Disclosure nent was first cited in a communication from a foreign patent office in a crpart foreign application not more than three months prior to the filing of this nation Disclosure Statement.	
[filed he counte was kn	by certify that no item of information in the Information Disclosure Statement erewith was cited in a communication from a foreign patent office in a crpart foreign application or, to my knowledge after making reasonable inquiry, nown to any individual designated in §1.56(c) more than three months prior to any of this Information Disclosure Statement.	
10. [ocument is accompanied by a Search Report Communication which was n a corresponding PCT or Foreign counterpart application	
11. [is enclosed in payment of the fees due under 37 §§1.17(h) and 1.17(p).	
[<u>13-450</u>	e the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Account No. 00, Order No A DUPLICATE COPY OF THIS SHEET IS CHED.	
[X	require Deposi	ommissioner is hereby authorized to charge any additional fees which may be ed for this Information Disclosure Statement, or credit any overpayment to it Account No. 13-4500, Order No. 1232-4785. A DUPLICATE COPY OF SHEET IS ATTACHED.	
			Respectfully submitted, MORGAN & FINNEGAN, L.L.P.	
Dated: <u>July 9, 2004</u>			By: Joseph Dl Eng, Jr. Registration No. 54,084	_
Corre	espor	ndence .	Address:	
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Docket No. <u>1232-4785</u> Serial No. <u>09/988,259</u>

		ed Petition To With . §1.17(h).	ndraw .	Application From Issue and fee pursuant to 37
	c. The fe		7.R. §§	1.17(h) is paid as set forth in paragraph 11
9.	Statement was	s first cited in a cor	mmuni ot mo	nation contained in this Information Disclosure cation from a foreign patent office in a re than three months prior to the filing of this
	filed herewith counterpart for was known to	n was cited in a con oreign application o	nmunio or, to m signate	tion in the Information Disclosure Statement cation from a foreign patent office in a my knowledge after making reasonable inquiry, d in §1.56(c) more than three months prior to be Statement.
10.	This docume cited in a cor	nt is accompanied tresponding PC	by 🔲 : Γ or 🗀	a Search Report Communication which was Foreign counterpart application
11.		ne amount of \$7(h) and 1.17(p).	is en	closed in payment of the fees due under 37
	Charge the fe 13-4500, Oro ATTACHED	ler No A I	.F.R. § OUPLI	§1.17(h) and 1.17(p) to Deposit Account No. CATE COPY OF THIS SHEET IS
X	required for Deposit Acc	this Information Di	sclosu Order	ed to charge any additional fees which may be re Statement, or credit any overpayment to No. 1232-4785. A DUPLICATE COPY OF
	·			Respectfully submitted, MORGAN & FINNEGAN, L.L.P.
Dated: July 9, 2004		Ву:	Joseph D. Eng, Jr. Registration No. 54,084	
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INFORMATION DISCLOSURE CITATION				Applicant: Akira YOKOYAMA Filing Date: November 19, 2001 G		Group Art Uni 2873	Group Art Unit:		
			U.S.	PA	TENT DOCUMENTS	-			
Examiner Initial		Patent Number	Issue Dat	te	Name	Class	Sub- Class	Filing Date	
		5,335,115	08/2/1994		Kawai et al.				
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	v	***	FOREI	GN	PATENT DOCUMENTS				
Examiner Initial		Patent Number	Publicatio Date	n	Country	Class	Sub- Class	Translation	

Examiner Initial	Patent Number	Publication Date	Country	Class	Sub- Class	Translation
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No
						☐ Yes ☐ No

	OTHER	C DOCUMENTS (Including Author, Title, Date, etc.)	
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Examiner		Date Considered	
EXAMINER:	Initial if reference considered, whether Draw line through citation if not in col Include copy of this form with next co		